



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,548	10/18/2001	Dongfang Liu	M0656/7070

CONFIRMATION NO. 7782

FORMALITIES LETTER



OC000000007099149

Helen C. Lockhart
Wolf, Greenfield & Sacks, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210

Date Mailed: 11/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2944.
 - \$1908 for 106 total claims over 20.
 - \$756 for 9 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3814.

*A copy of this notice **MUST** be returned with the reply.*

02/12/2002 MBERHE 000076 09982548

01 FC:101	
02 FC:105	740.00 OP
03 FC:103	130.00 OP
04 FC:102	522.00 OP
	756.00 OP

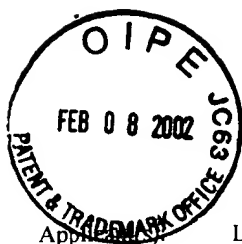
Customer Service Center
Initial Patent Examination Division (703) 308-1202

Repln. Ref: 02/12/2002 MBERHE 0020561500
DAN:232825 Name/Number:09982548

FC: 704

\$36.00 CR

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS
ORIGINALLY FILED

HH #3 *see below*
ATTORNEY'S DOCKET NO. M0656/7070(HCL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App: Liu et al.
Serial No.: 09/982,548
Filed: October 18, 2001
For: METHODS AND PRODUCTS RELATED TO PULMONARY DELIVERY OF POLYSACCHARIDES
Examiner: Unassigned
Art Unit: 1623

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 22nd day of January, 2002.

Helen C. Lockhart
Helen C. Lockhart

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith are the following document(s):

- ☒ Notice to File Missing Parts of Nonprovisional Application
- ☒ Declaration for Patent Application
- ☒ Preliminary Amendment
- ☒ Fee Calculation Sheet (X2)
- ☒ Check in the Amount of \$2,184.00
- ☒ Certificate of Mailing
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$2,184.00 is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Helen C. Lockhart
Helen C. Lockhart, Reg. No. 39,248
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

Docket No. M0656/7070(HCL)
Date: January 22, 2002
X01/22/02

COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No. M0656/7070(HCL)

Inventor or Identifier: Liu et al.

Serial No: 09/982,548

Filed: October 18, 2001

CHECK BOX, if applicable:

For: METHODS AND PRODUCTS RELATED TO PULMONARY
DELIVERY OF POLYSACCHARIDES☐ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	49 - 20 =	29 x	\$18	= \$ 522.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	12 - 3 =	9 x	\$84	= \$ 756.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$280	= \$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$ 2,018.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00
	Assignment Recordation Fee (if any)				\$ 0.00
	Other Fees (Missing Parts) (if any).				\$ 130.00
	TOTAL =				\$ 2,184.00

1. A check in the amount of \$2,184.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16, 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16, 1.17 or 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Helen C. Lockhart, Reg. No. 39,248
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of RecordDocket No. M0656/7070(HCL)
Date: January 22, 2002
X01/22/02